

09/05/03

17648 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.: 413012US

First Inventor: Fujio Tajima et al.

Title: POLISHING APPARATUS WITH ABRASIVE TAPE,  
POLISHING METHOD USING ABRASIVE TAPE AND  
MANUFACTURING METHOD FOR MAGNETIC DISK

Express Mail Label No.: ER 425966064 US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS  
(see MPEP chapter 600 concerning  
utility patent application contents)

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form in duplicate  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27
3. ☒ Specification Total Pages   
(preferred arrangement set forth below)  
-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to sequence listing, a table,  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
4. ☒ Drawing(s) Total Sheets
5. Oath or Declaration  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37  
CFR 1.63(d)) (for continuation/ divisional with  
Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application.  
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet under 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large  
table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-4 (2 copies);  
ii. ☐ or paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) ☐ Power of Attorney  
Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ IDS ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document
16. ☐ Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
17. ☒ Other: Unsigned Declaration

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) Prior Appl. No. 

Prior Appl. information:

Examiner: Group/Art Unit: 

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☐ Correspondence address belowName Address Address City State Zip Code Country Telephone Fax Name Registration No. SIGNATURE Date 

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09/05/03

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Fujio Tajima et al.**

Docket No.

**413012US**

Serial No.

Unassigned

Filing Date

Filed Herewith

Examiner

Unassigned

Group Art Unit

Unassigned

Invention: **POLISHING APPARATUS WITH ABRASIVE TAPE, POLISHING METHOD USING ABRASIVE TAPE AND MANUFACTURING METHOD FOR MAGNETIC DISK**

I hereby certify that the following correspondence:

**New U.S. Patent Application***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

9/5/03*(Date)***Marjorie Scariati***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***ER 425966064 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**